

10/538407
JC17 Rec'd PCT/PTO 10 JUN 2005

PATENT
ATTORNEY DOCKET NO. 0047/028001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert DWILINSKI et al. Art Unit:
Application No.: filed concurrently Examiner:
Title: A SUBSTRATE FOR EPITAXY AND A METHOD OF
PREPARING THE SAME
International Application No.: PCT/JP2003/015906
International Filing Date : December 11, 2003
Priority Date : December 11, 2002
U.S. Filing Date : June 10, 2005

Mail Stop PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), applicants request that the references cited on attached form PTO-1449 (a copy of which is enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104.

Enclosed also please find a copy of the International Search Report, the International Preliminary Examination Report and copies of the cited references. The relevance of these references can be seen from the International Search Report. The English language abstracts for the French Patent Publication is also enclosed. Also enclosed is a full English language translation of the French Patent Publication No. 2,796,657.

Please note that the three Japanese Patent Application Publications are discussed on page 1 of the specification.

This Statement is being filed under 37 CFR 1.97(b) prior to the mailing date of a first Office Action on the merits.

10/538407
JC17 Rec'd PCT/PTO 10 JUN 2005


Information Disclosure Statement
Application No.: filed concurrently
Applicants: Robert DWILINSKI et al.
Title: A SUBSTRATE FOR EPITAXY AND A METHOD OF
PREPARING THE SAME
Page 2

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached at the telephone number listed below. All correspondence should continue to be directed to our address below.

Respectfully submitted,

Date: June 10, 2005


Randolph A. Smith
Reg. No. 32,548

SMITH PATENT OFFICE

1901 Pennsylvania Ave., N.W.
Suite 200
Washington, DC 20006-3433
Telephone: 202/530-5900
Facsimile: 202/530-5902
Dwilinski061005

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	Filed concurrently 10/15/38407
Filing Date	June 10, 2005
Applicants	Robert DWILINSKI et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	0047/028001

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*	Cite No. ¹	DOCUMENT NUMBER	Kind Code ² (if known)	NAME	DATE MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,355,497		ROMANO et al.	03/2002	
		6,488,767		XU et al.	12/2002	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL*	Cite No. ¹	Foreign Patent Document			NAME	DATE MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		WO	97/13891	A	BARANOWSKI et al.	04/1997		Abstract
		FR	2 796 657	A	GERARD et al.	01/2001		X
		EP	1 164 210	A	HOOPER et al.	12/2001		
		WO	02/101120	A	DWILINSKI et al.	12/2002		
		WO	02/101124	A	DWILINSKI et al.	12/2002		

EXAMINER

DATE CONSIDERED

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number

Filed **10/15/15** concurrently

Filing Date

June 10, 2005

Applicants

Robert DWILINSKI et al.

Group Art Unit

Examiner Name

Attorney Docket Number

0047/028001

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

EXAMINER

DATE CONSIDERED

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.